

# Vertex-minors of graphs

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## Abstract

For a graph with a vertex  $v$ , the local complementation at  $v$  is an operation that replaces the neighborhood of  $v$  by its complement graph. Two graphs are locally equivalent if one is obtained from the other by a sequence of local complementations. A graph  $H$  is a vertex-minor of a graph  $G$  if  $H$  is an induced subgraph of a graph locally equivalent to  $G$ . Although this concept was introduced in the 1980s, it was not widely known and except for the survey paper of Bouchet published in 1990, there is no comprehensive survey listing all the new developments. We survey classic and recent theorems and conjectures on vertex-minors and related concepts such as circle graphs, cut-rank functions, rank-width, interlace polynomials, and isotropic systems.

## 1 Introduction

We aim to survey known results and conjectures for vertex-minors of graphs. In this paper, all graphs are simple, meaning that neither loops nor parallel edges are allowed. For a vertex  $v$  of a graph  $G$ , we write  $G - v$  to denote the graph obtained by deleting the vertex  $v$  and all edges incident with  $v$ .

Let us start with their definitions. Vertex-minors are defined in terms of two graph operations, local complementations and vertex deletions. The *local complementation* of a graph  $G$  at a vertex  $v$  is an operation to obtain a new graph denoted by  $G * v$  from  $G$  by ‘toggling’ the adjacencies between all pairs of neighbors of  $v$ , see Figure 1. In other words, two distinct vertices  $x$  and  $y$  are adjacent in  $G * v$  if and only if exactly one of the following holds.

- (a)  $x$  and  $y$  are adjacent in  $G$ .
- (b) Both  $x$  and  $y$  are neighbors of  $v$  in  $G$ .

Two graphs are *locally equivalent* if one is obtained from the other by a sequence of local complementations. A graph  $H$  is a *vertex-minor* of a graph  $G$  if  $H$  is an induced subgraph of a graph locally equivalent to  $G$ . The name ‘vertex-minor’ first appeared in Oum [Oum05] but it appeared previously under the various names such as l-reduction [Bou94] and i-minor [Bou87d]. According to Bouchet [Bou90], local complementations were introduced by Kotzig [Kot68, Kot77].

For two adjacent vertices  $x$  and  $y$ , we write  $G \wedge xy$  to denote  $G * x * y * x$ . It is easy to check that  $G * x * y * x = G * y * x * y$  and so this operation is well defined and is called the *pivot* operation. We note that  $G \wedge xy$  could be obtained from  $G$  by toggling the adjacency between

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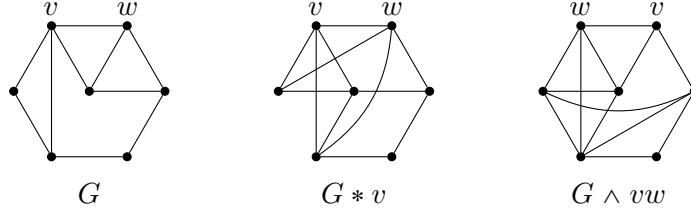


Figure 1: Examples of local complementations and pivotings.

37 every pair of vertices in two different sets among  $N_G(x) - (N_G(y) \cup \{y\})$ ,  $N_G(x) \cap N_G(y)$ , and  
 38  $N_G(y) - (N_G(x) \cup \{x\})$  and then switching labels of  $x$  and  $y$ , see Figure 1. Another graphical  
 39 description was given in Oum [Oum05] along with the proof of the following well-known fact:

$$G \wedge xy \wedge yz = G \wedge xz.$$

40 Because of this, it is often convenient to write  $G/v$  to denote  $(G \wedge vw) - v$  for some neighbor  
 41  $w$  of  $v$ , because the choice of  $w$  does not change the outcome up to pivot-equivalence, as  
 42  $(G \wedge vw) - v = ((G \wedge vw') - v) \wedge ww'$ . Exceptionally, if  $v$  has no neighbor, we define  $G/v := G - v$ .  
 43 Two graphs are *pivot-equivalent* if one is obtained from the other by a sequence of pivotings. A  
 44 graph  $H$  is a *pivot-minor* of a graph  $G$  if  $H$  is an induced subgraph of a graph pivot-equivalent  
 45 to  $G$ .

46 In Sections 2 and 3 we review some basic properties of local equivalences and vertex-minors.  
 47 In Sections 4–7 we survey the cut-rank function of a graph, which is a connectivity function  
 48 for vertex-minors. In Sections 8 and 9 we give structural theorems for the vertex-minor, and  
 49 in Section 10 we present recent progress on  $\chi$ -boundedness for vertex-minor-closed classes of  
 50 graphs. Section 11 provides some algorithmic results on vertex-minors, and in Sections 12  
 51 and 13 we review interlace polynomials and isotropic systems, respectively.

## 52 2 Basic theorems

53 It may be seen that there are many inequivalent ways to reduce a graph to a vertex-minor  
 54 with one fewer vertex. However, the following theorem, proved by Bouchet and Fon-Der-Flaass  
 55 independently, ensures that essentially there are only three ways to remove one vertex to have  
 56 a vertex-minor.

57 **Theorem 2.1** (Bouchet [Bou88a, (9.2)] and Fon-Der-Flaass [FDF88, Corollary 4.3]). *Let  $H$  be*  
 58 *a vertex-minor of a graph  $G$  and  $v$  be a vertex in  $V(G) - V(H)$ . Then  $H$  is a vertex-minor of*  
 59  *$G - v$ ,  $G * v - v$ , or  $G/v$ .*

60 Bouchet [Bou88a] used isotropic systems to prove the previous theorem. Fon-Der-Flaass [FDF88]  
 61 proved the theorem in graph-theoretic notions. Sometimes it is convenient to use the following  
 62 form presented in Geelen and Oum [GO09, Lemma 3.2], which immediately implies Theorem 2.1.

63 **Lemma 2.2** (Geelen and Oum [GO09, Lemma 3.1]). *Let  $G$  be a graph and  $v$  and  $w$  be vertices.*

- 64 (i) *If  $v \neq w$  and  $v$  is non-adjacent to  $w$ , then  $(G * w) - v$ ,  $(G * w * v) - v$ , and  $(G * w)/v$  are*  
 65 *locally equivalent to  $G - v$ ,  $(G * v) - v$ , and  $G/v$ , respectively.*
- 66 (ii) *If  $v \neq w$  and  $v$  is adjacent to  $w$ , then  $(G * w) - v$ ,  $(G * w * v) - v$ , and  $(G * w)/v$  are*  
 67 *locally equivalent to  $G - v$ ,  $G/v$ , and  $(G * v) - v$ , respectively.*
- 68 (iii) *If  $v = w$ , then  $(G * w) - v$ ,  $(G * w * v) - v$ , and  $(G * w)/v$  are locally equivalent to  $(G * v) - v$ ,*  
 69  *$G - v$ , and  $G/v$ , respectively.*

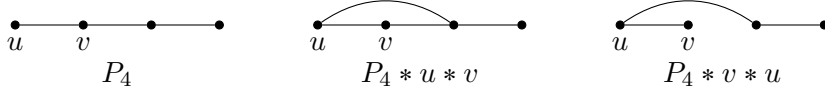


Figure 2: Local complementations are not commutable.

70 We remark that bipartite graphs with the pivot-minor relation are essentially equivalent to  
 71 binary matroids with the matroid minor relation. Many theorems on vertex-minors and pivot-  
 72 minors are motivated in part by theorems on minors of graphs or matroids. See [Oum05, Section  
 73 3] for more discussion on relating pivot-minors of bipartite graphs and minors of binary matroids.

### 74 3 Various properties on locally equivalent graphs

75 Local complementations do not commute; see Figure 2. Hence when we enumerate a sequence  
 76 of local complementations, there might have many vertices appearing more than once. Fon-Der-  
 77 Flaass [FDF88] showed that for every pair of local equivalent graphs, there is a good sequence  
 78 of local complementations and pivotings avoiding redundancy.

79 **Theorem 3.1** (Fon-Der-Flaass [FDF88, Corollary 4.2]). *Let  $G$  and  $H$  be locally equivalent*  
 80 *graphs. Then there is a sequence of local complementations and pivotings such that all vertices*  
 81 *used for local complementations and all ends of edges used for pivotings appear only once.*

82 Pivot-equivalent graphs can be explained by a matrix operation originated from Tucker [Tuc60].  
 83 For a  $V \times V$  matrix  $A$  and a subset  $X \subseteq V$ , we write  $A[X]$  to denote its  $X \times X$  principal sub-  
 84 matrix. Let

$$A = \begin{array}{c} X \quad Y \\ X \left( \begin{array}{cc} \alpha & \beta \\ \gamma & \delta \end{array} \right) \\ Y \end{array}.$$

85 If  $A[X] = \alpha$  is nonsingular, then let

$$A * X = \begin{array}{c} X \quad Y \\ X \left( \begin{array}{cc} \alpha^{-1} & \alpha^{-1}\beta \\ -\gamma\alpha^{-1} & \delta - \gamma\alpha^{-1}\beta \end{array} \right) \\ Y \end{array}.$$

86 This operation is called the *pivoting* or the *principal pivoting*. Tucker [Tuc60] proved that  
 87  $(A * X)[Y]$  is nonsingular if and only if  $A[X \Delta Y]$  is nonsingular. Here,  $X \Delta Y = (X - Y) \cup (Y - X)$ .

88 Let us write  $A(G)$  to denote the adjacency matrix of a graph  $G$  over the binary field. It  
 89 turns out that

$$A(G \wedge uv) = A(G) * \{u, v\}.$$

90 Thus we observe the following.

91 **Lemma 3.2.** *Two graphs  $G$  and  $H$  on the vertex set  $V$  are pivot-equivalent if and only if*  
 92  *$A(G) = A(H) * X$  for some  $X \subseteq V$  with nonsingular  $A(H)[X]$ .*

93 This means that if  $H = G \wedge e_1 \wedge e_2 \cdots \wedge e_k$ , then  $V(e_1) \Delta V(e_2) \Delta \cdots \Delta V(e_k)$  determines  $H$   
 94 and conversely if  $H$  and  $G$  are pivot-equivalent, then we can choose edges  $e_1, e_2, \dots, e_k$  such  
 95 that  $H = G \wedge e_1 \wedge e_2 \cdots \wedge e_k$  and  $V(e_i) \cap V(e_j) = \emptyset$  for all  $i \neq j$ , because for any nonsingular  
 96 non-trivial skew-symmetric matrix  $X$ , one can find a  $2 \times 2$  nonsingular principal submatrix to  
 97 apply pivoting.

98 Also, note that if  $A(G) = A(H) * X$ , then  $A(G)[Y]$  is nonsingular if and only if  $A(H)[X \Delta Y]$   
 99 is nonsingular by Tucker [Tuc60]. So we deduce the following.

100 **Lemma 3.3.** *Let  $G$  and  $H$  be graphs on the vertex set  $V$ . Then  $G$  and  $H$  are pivot-equivalent*  
 101 *if and only if there exists  $X \subseteq V$  such that*

$$\{Y : Y \subseteq V \text{ and } \det A(G)[Y] \neq 0\} = \{Y \Delta X : Y \subseteq V \text{ and } \det A(H)[Y] \neq 0\}.$$

102 The above lemma is the essence of *even binary delta-matroids*. For a graph  $G$  on  $V$ , the pair  
 103  $(V, \mathcal{F})$  with  $\mathcal{F} = \{X \subseteq V : \det A(G)[X] \neq 0\}$  defines even binary delta-matroids introduced  
 104 by Bouchet [Bou88b]. Bouchet introduced delta-matroids more generally [Bou87a] and pivot-  
 105 minors of graphs can be explained as minors of even binary delta-matroids. This relation is  
 106 useful when studying pivot-minors of graphs.

107 Here is another theorem on two locally equivalent graphs.

108 **Theorem 3.4** (Fon-Der-Flaass [FDF89, FDF96]). *Let  $G$  and  $H$  be locally equivalent graphs.*  
 109 *Then there are vertices  $v_1, \dots, v_k$  and edges  $e_1, \dots, e_\ell, e'_1, \dots, e'_m$  such that*

- 110 (i)  $e_i \in E(G \wedge e_1 \wedge \dots \wedge e_{i-1})$  for  $1 \leq i \leq \ell$ ,
- 111 (ii)  $\{v_1, \dots, v_k\}$  is an independent set in  $G \wedge e_1 \wedge \dots \wedge e_\ell$ ,
- 112 (iii)  $e'_j \in E(G \wedge e_1 \wedge \dots \wedge e_\ell * v_1 * \dots * v_k \wedge e'_1 \wedge \dots \wedge e'_{j-1})$  for  $1 \leq j \leq m$ , and
- 113 (iv)  $H = G \wedge e_1 \wedge \dots \wedge e_\ell * v_1 * \dots * v_k \wedge e'_1 \wedge \dots \wedge e'_m$ .

114 Fon-Der-Flaass proved the preceding theorem in Russian. A paper by the authors [KO22]  
 115 includes a proof based on isotropic systems. As an easy consequence of Theorem 3.4, Fon-Der-  
 116 Flaass [FDF96] proved that two locally equivalent bipartite graphs are pivot-equivalent. This  
 117 was a conjecture of Bouchet [Bou90, Conjecture 3.5] and is also implied by Theorem 4.3.

118 **Theorem 3.5** (Fon-Der-Flaass [FDF88, Theorem 2.2]). *If a graph  $G$  is locally equivalent to a*  
 119 *tree  $T$ , then  $G$  has a subgraph isomorphic to  $T$ .*

120 Theorem 3.5 implies the following theorem, which was initially conjectured by Mulder at  
 121 the Oberwolfach meeting in 1986. Bouchet also solved this conjecture independently.

122 **Theorem 3.6** (Bouchet [Bou88c] and Fon-Der-Flaass [FDF88]). *If two trees are locally equiv-*  
 123 *alent, then they are isomorphic.*

124 Jeong, Kwon, and Oum [JKO14] used the idea of the above theorem to prove the following  
 125 theorem on block graphs. A *block graph* is a graph in which every block is a complete graph.  
 126 A vertex is *simplicial* if its neighbors are pairwise adjacent.

127 **Theorem 3.7** (Jeong, Kwon, and Oum [JKO14, Theorem 1.4]). *If two block graphs without*  
 128 *simplicial vertices of degree 2 are locally equivalent, then they are isomorphic.*

129 **Theorem 3.8** (Fon-Der-Flaass [FDF88, Theorem 5.1]). *Let  $G$  be a graph and  $n \geq 5$ . If  $G$  is*  
 130 *locally equivalent to  $C_n$ , then  $G$  has a subgraph isomorphic to  $C_n$ .*

131 Theorem 3.8 implies that if an  $n$ -vertex bipartite graph is locally equivalent to a cycle, then  
 132  $n$  is even, proved by Allys [All94, Lemma 5.2] later by using isotropic systems. Another proof  
 133 of this fact is presented in the appendix of [KO22].

## 134 4 Connectivity: Cut-rank functions

135 For a graph  $G$ , the cut-rank function  $\rho_G$  is defined over the subsets  $X$  of  $V(G)$  such that  $\rho_G(X)$   
 136 is the rank of the  $X \times (V(G) - X)$  matrix over the binary field whose entry is 1 if and only if  
 137 two vertices representing the column and the row are adjacent in  $G$ . Cut-rank functions play  
 138 an important role in the study of vertex-minors because they are invariant under taking local  
 139 complementations. As elementary row operations do not change the rank and  $1 + 1 = 0$  in the  
 140 binary field, it is easy to observe the following theorem.

141 **Theorem 4.1** (Bouchet [Bou89, Corollary 2]; see Oum [Oum05]). *If  $G$  and  $H$  are locally*  
 142 *equivalent, then they have an identical cut-rank function.*

143 Since deleting a row or a column does not increase the rank of a matrix, we deduce the  
 144 following corollary.

145 **Corollary 4.2.** *If  $H$  is a vertex-minor of a graph  $G$ , then  $\rho_H(X \cap V(H)) \leq \rho_G(X)$  for all*  
 146  *$X \subseteq V(G)$ .*

147 Bouchet [Bou90] conjectured that the converse of Theorem 4.1 is true but Fon-Der-Flaass  
 148 disproved the conjecture. A counterexample with 10 vertices is presented in [FDF96]. According  
 149 to a computer search, the smallest example is a pair of two graphs on 9 vertices that are not  
 150 locally equivalent but have an identical cut-rank function.

151 The converse of Theorem 4.1 is true for bipartite graphs in the stronger sense that we only  
 152 need pivoting. This follows from the result of Seymour [Sey88].

153 **Theorem 4.3.** *Let  $G_1, G_2$  be bipartite graphs on the same set  $V$  of vertices. If*

$$\rho_{G_1}(X) = \rho_{G_2}(X) \text{ for all subsets } X \subseteq V,$$

154 *then  $G_1$  is pivot-equivalent to  $G_2$ .*

155 *Proof.* We may assume that both  $G_1$  and  $G_2$  are connected because the cut-rank function of a  
 156 graph determines components. For  $i = 1, 2$ , let  $(S_i, T_i)$  be a bipartition of  $V(G_i)$ , let  $A_i$  be an  
 157  $S_i \times V$  matrix over the binary field such that for  $v \in S_i$  and  $w \in V$ ,

$$A_i(v, w) = \begin{cases} 1 & \text{if } v = w, \\ 1 & \text{if } w \in T_i \text{ and } v \text{ and } w \text{ are adjacent in } G_i, \\ 0 & \text{otherwise.} \end{cases}$$

158 For  $i = 1, 2$ , let  $M_i$  be the binary matroid represented by  $A_i$  and let  $\lambda_i$  be the matroid  
 159 connectivity function of  $M_i$ , that is  $\lambda_i(X) = r_i(X) + r_i(V - X) - r_i(V)$  for the rank function  $r_i$   
 160 of  $M_i$ . This construction is to make  $G_i$  the fundamental graph of  $M_i$  with respect to  $S_i$ . Then,  
 161 it is well known that the matroid connectivity function coincides with the cut-rank function of  
 162 its fundamental graph, that is  $\rho_{G_i}(X) = \lambda_i(X)$ , see [Oum05].

163 Seymour [Sey88] showed that if two connected binary matroids  $M_1$  and  $M_2$  have the same  
 164 matroid connectivity function, then  $M_1 = M_2$  or  $M_1 = M_2^*$ . We may assume that  $M_1 = M_2$   
 165 because we may swap  $S_2$  and  $T_2$  to replace  $M_2$  with  $M_2^*$ . Any two fundamental graphs of a  
 166 binary matroid are pivot-equivalent, see Oum [Oum05, Corollary 3.5] and therefore  $G_1$  and  $G_2$   
 167 are pivot-equivalent.  $\square$

## 168 5 Vertex-minors certifying high connectivity

169 By Corollary 4.2, if  $G$  has a vertex-minor  $H$  having a cut of large cut-rank, then all cuts of  $G$   
 170 inducing the same cut on  $H$  will have large cut-rank. The following theorem shows that the  
 171 converse holds. This is an analog of Tutte's linking theorem [Tut65] on matroids.

172 **Theorem 5.1** (Oum [Oum05, Theorem 6.1]). *Let  $G$  be a graph and  $X$  and  $Y$  be disjoint subsets*  
 173 *of  $V(G)$ . The following are equivalent.*

- 174 (i)  $\rho_G(Z) \geq k$  for all  $Z$  with  $X \subseteq Z \subseteq V(G) - Y$ .
- 175 (ii)  $G$  has a vertex-minor  $H$  on  $X \cup Y$  such that  $\rho_H(X) \geq k$ .
- 176 (iii)  $G$  has a pivot-minor  $H$  on  $X \cup Y$  such that  $\rho_H(X) \geq k$ .

177 Theorem 5.1 allows us to find a small vertex-minor certifying that any cuts separating a  
 178 pair of disjoint sets  $X$  and  $Y$  have high connectivity. However, often it is convenient to keep the  
 179 adjacency between  $X$  and  $Y$  and it may be enough to have a rough structure. The following  
 180 theorem provides such a structure.

181 **Theorem 5.2** (Geelen, Kwon, McCarty, and Wollan [GKMW23, Lemma 4.3]). *There is a*  
 182 *function  $f : \mathbb{Z} \rightarrow \mathbb{Z}$  such that for every integer  $k > 0$ , if  $G$  is a graph and  $X$  and  $Y$  are disjoint*  
 183 *subsets of  $V(G)$  such that*

$$\rho_G(Z) \geq f(k) \text{ for all } Z \text{ with } X \subseteq Z \subseteq V(G) - Y$$

184 *and  $\rho_{G[X \cup Y]}(X) < k$ , then there exists a graph  $H$  locally equivalent to  $G$  such that  $H[X \cup Y] =$*   
 185  *$G[X \cup Y]$  and either*

- 186 (i) *there is a set  $L \subseteq V(G) - (X \cup Y)$  of size  $k$  such that  $\rho_{G[X \cup L]}(L) = \rho_{G[Y \cup L]}(L) = k$ , or*  
 187 (ii) *there are disjoint subsets  $L_1, L_2 \subseteq V(G) - (X \cup Y)$  of size  $k$  such that  $\rho_{G[X \cup L_1]}(L_1) =$*   
 188  *$\rho_{G[L_1 \cup L_2]}(L_1) = \rho_{G[Y \cup L_2]}(L_2) = k$ , all vertices in  $L_1$  have the same set of neighbors in*  
 189  *$Y$ , and all vertices in  $L_2$  have the same set of neighbors in  $X$ .*

190 The following theorem of Lee and Oum [LO23] implies that it is possible to find a small  
 191 vertex-minor certifying that any cuts separating any of two pairs have large cut-rank.

192 **Theorem 5.3** (Lee and Oum [LO23]). *Let  $k, \ell$  be integers. Let  $G$  be a graph and  $Q, R, S, T$*   
 193 *be subsets of  $V(G)$  such that  $Q \cap R = S \cap T = \emptyset$ ,*

$$\rho_G(Z_1) \geq k \text{ for all } Z_1 \text{ with } Q \subseteq Z_1 \subseteq V(G) - R,$$

194 *and*

$$\rho_G(Z_2) \geq \ell \text{ for all } Z_2 \text{ with } S \subseteq Z_2 \subseteq V(G) - T.$$

195 *If  $|V(G) - (Q \cup R \cup S \cup T)| \geq (2\ell + 1)2^{2k}$ , then there exists a vertex  $v \in V(G) - (Q \cup R \cup S \cup T)$*   
 196 *satisfying at least two of the following.*

- 197 (i)  *$\rho_{G-v}(Z_1) \geq k$  for all  $Z_1$  with  $Q \subseteq Z_1 \subseteq V(G) - R - \{v\}$ , and  $\rho_{G-v}(Z_2) \geq \ell$  for all  $Z_2$*   
 198 *with  $S \subseteq Z_2 \subseteq V(G) - T - \{v\}$ .*  
 199 (ii)  *$\rho_{G*v-v}(Z_1) \geq k$  for all  $Z_1$  with  $Q \subseteq Z_1 \subseteq V(G) - R - \{v\}$ , and  $\rho_{G*v-v}(Z_2) \geq \ell$  for all  $Z_2$*   
 200 *with  $S \subseteq Z_2 \subseteq V(G) - T - \{v\}$ .*  
 201 (iii)  *$\rho_{G/v}(Z_1) \geq k$  for all  $Z_1$  with  $Q \subseteq Z_1 \subseteq V(G) - R - \{v\}$ , and  $\rho_{G/v}(Z_2) \geq \ell$  for all  $Z_2$  with*  
 202  *$S \subseteq Z_2 \subseteq V(G) - T - \{v\}$ .*

## 203 6 Split decompositions and prime graphs

204 A *split* of a graph  $G$  is a partition  $(X, Y)$  of the vertex set of  $G$  such that  $|X|, |Y| \geq 2$  and  
 205  $\rho_G(X) \leq 1$ . Note that  $\rho_G(X) \leq 1$  if there are subsets  $X' \subseteq X$  and  $Y' \subseteq V(G) - X$  for which  
 206 the set of adjacent pairs of a vertex  $a \in X$  and a vertex  $b \in V(G) - X$  is precisely  $X' \times Y'$ .  
 207 We say a graph is *prime* if it has no splits. By Theorem 4.1, locally equivalent graphs have the  
 208 same splits and therefore if a graph is locally equivalent to a prime graph then it is prime.

209 If a graph admits a split, it can be built by the 1-join of two graphs. The 1-*join* of two  
 210 graphs  $G_1$  and  $G_2$  with marker vertices  $v_1 \in V(G_1)$  and  $v_2 \in V(G_2)$  is defined to be a graph  
 211 obtained from the disjoint union of  $G - v_1$  and  $G - v_2$  by adding an edge between every neighbor  
 212 of  $v_1$  in  $G_1$  and every neighbor of  $v_2$  in  $G_2$ . Whenever a graph  $G$  admits a split  $(X, Y)$ , we  
 213 choose  $v_1 \in Y$  and  $v_2 \in X$  so that  $v_1$  have neighbors in  $X$  and  $v_2$  have neighbors in  $Y$  if there  
 214 is at least one edge between  $X$  and  $Y$ . Then  $G$  is the 1-join of  $G[X \cup \{v_1\}]$  and  $G[Y \cup \{v_2\}]$

215 with  $v_1, v_2$ . Thus if  $G$  is not prime, it can be decomposed into two smaller graphs with at least  
216 three vertices by the 1-join operation.

217 Now starting from a set  $\{G\}$  consisting of a single graph, we recursively pick a graph  $H$  in the  
218 set having a split and replace it with two smaller graphs so that their 1-join is  $H$  as long as  $H$  is  
219 not a complete graph or a star, until no further replacement is possible. We can associate a tree  
220 by having one node for each graph in the resulting set and adding an edge between two nodes  
221 if the corresponding pairs have marker vertices that are used when making the 1-join at some  
222 point. This decomposition is called the *split decomposition* of a graph. Cunningham [Cun82]  
223 proved that a connected graph has a unique split decomposition and therefore this is sometimes  
224 called a *canonical decomposition*. Note that complete graphs and stars have exponentially  
225 many splits and for the sake of having the unique split decomposition, the recursive process  
226 stops at those graphs. If we do not care much about the uniqueness, then we could decompose  
227 a connected graph into prime graphs and build any graph from prime graphs by repeatedly  
228 taking 1-join.

229 There are linear-time algorithms to find a split decomposition [Dah00, CdMR12].

230 Prime graphs are important building blocks of graphs, analogous to the fact that every con-  
231 nected graph can be decomposed into blocks. As planar graphs can be decided by investigating  
232 the planarity of blocks, there are properties of graphs that can be determined by the properties  
233 of prime graphs.

234 Circle graphs are one of the major examples of classes of graphs closed under taking vertex-  
235 minors. A *circle graph* is the intersection graph of chords in a circle. In other words, a circle  
236 graph is represented by a chord diagram where vertices are chords and two vertices are adjacent  
237 if and only if they are intersecting. Here is a theorem on the unique representation of circle  
238 graphs up to local equivalence.

239 **Theorem 6.1** (Bouchet [Bou87c]). *Prime circle graphs on at least 5 vertices have a unique*  
240 *representation up to cyclic equivalence.*

241 Furthermore, if  $G_1$  and  $G_2$  are circle graphs, then so is their 1-join [Bou87c, (4.2)]. We can  
242 rewrite as follows because any prime induced subgraph is an induced subgraph of some graph  
243 in the canonical decomposition.

244 **Theorem 6.2** (Bouchet [Bou87c]). *A graph is a circle graph if and only if all of its prime*  
245 *induced subgraphs are circle graphs.*

246 So in order to decide whether a graph is a circle graph, it is enough to check whether all  
247 graphs in its canonical decomposition are circle graphs.

248 A similar property holds for graphs of rank-width at most  $k$ . First let us define the rank-  
249 width, introduced by Oum and Seymour [OS06]. A *rank-decomposition* of a graph  $G$  is a pair  
250  $(T, L)$  of a tree  $T$  of maximum degree at most 3 and a bijection from  $V(G)$  to the set of leaves  
251 of  $T$ . For every edge  $e$ ,  $T - e$  gives a partition  $(A_e, B_e)$  of the leaves of  $T$  and the *width* of an  
252 edge  $e$  in  $T$  is defined as  $\rho_G(L^{-1}(A_e))$ . The *width* of  $(T, L)$  is defined as the maximum width of  
253 all edges of  $T$ . The *rank-width* of a graph  $G$  is the minimum width of all rank-decompositions  
254 of  $G$ . If  $|V(G)| < 2$ , then it admits no rank-decompositions and we define the rank-width to be  
255 0.

256 As the rank-width of the 1-join of two graphs is equal to the maximum rank-width of  $G$  or  
257  $H$ , Hliněný et al. observed the following.

258 **Theorem 6.3** (Hliněný, Oum, Seese, and Gottlob [HOSG08, Theorem 4.3]). *The rank-width*  
259 *of a graph is the maximum rank-width of all prime induced subgraphs.*

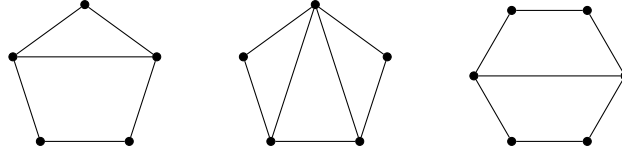


Figure 3: Unavoidable induced subgraphs in prime graphs that are not cycles.

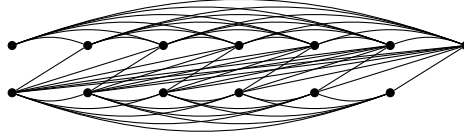


Figure 4: The graph  $H_{13}$ . No vertex  $v$  other than the unique vertex adjacent to all other vertices has the property that  $H_{13} - v$  or  $H_{13} * v - v$  is prime.

## 7 Chain theorems

Graph theory employs chain theorems as a means to reduce the size of a graph while maintaining its connectivity. A detailed overview of these theorems can be found in Sections 7.2 and 7.3 of Chapter 2 in [GGL95], which offer a comprehensive survey on chain theorems of graph minors. This survey describes variants of Tutte’s chain theorem and examines their applications.

A significant chain theorem for vertex-minors was proven by Bouchet [Bou87d]. This theorem serves as a critical tool used by Bouchet for recognizing circle graphs in polynomial time.

**Theorem 7.1** (Bouchet [Bou87d]). *Let  $G$  be a prime graph with at least 6 vertices. Then  $G$  has a prime vertex-minor  $H$  with  $|V(H)| = |V(G)| - 1$ .*

**Theorem 7.2** (Bouchet [Bou87c, Lemma 3.1]). *Every prime graph on 4 or 5 vertices is locally equivalent to  $C_5$ .*

Gabor, Hsu, and Supowit [GSH89] provide an  $O(|V(G)| \times |E(G)|)$ -time algorithm recognizing a circle graph for an input graph  $G$ , using the next result.

**Theorem 7.3** (Gabor, Hsu, and Supowit [GSH89]). *Every prime graph with at least 4 vertices contains an induced subgraph isomorphic to a cycle of length at least 5 or a graph in Figure 3.*

Allys proved a strengthening of Theorem 7.1 by using isotropic systems.

**Theorem 7.4** (Allys [All94]). *Let  $G$  be a prime graph with at least 6 vertices. Then  $G$  has a vertex  $v$  such that  $G - v$  or  $G * v - v$  is prime.*

Kim and Oum extended the theorem of Allys (Theorem 7.4) as follows. Let  $H_n$  be a graph on  $\{v_1, v_2, \dots, v_n\}$  such that two vertices  $v_i$  and  $v_j$  with  $i < j$  are adjacent if and only if  $i$  is even or  $j$  is odd. See Figure 4 for an illustration of  $H_{13}$ .

**Theorem 7.5** (Kim and Oum [KO22]). *Let  $G$  be a prime graph with at least 6 vertices and  $x$  be a vertex of  $G$ . Then  $G$  has a vertex  $v \neq x$  such that  $G - v$  or  $G * v - v$  is prime, unless  $G$  is isomorphic to  $H_{|V(G)|}$ ,  $x$  is adjacent to all other vertices of  $G$ , and  $|V(G)|$  is odd.*

Theorem 7.5 is proved by using the following theorem on the number of vertices that admit at least two ways to be removed while keeping the primeness.

**Theorem 7.6** (Kim and Oum [KO22]). *Let  $G$  be a prime graph with at least 4 vertices.*

- (i)  *$G$  has at least two vertices  $v$  such that at least two of  $G - v$ ,  $G * v - v$ , and  $G/v$  are prime, unless  $G$  is locally equivalent to  $C_5$ .*



289 (ii)  $G$  has at least three vertices  $v$  such that at least two of  $G - v$ ,  $G * v - v$ , and  $G/v$  are  
 290 prime, unless  $G$  is locally equivalent to a cycle or a graph consisting of at least three  
 291 internally-disjoint paths between two fixed vertices, none of which has length 2.

292 Here is an easy corollary of the previous theorem.

293 **Corollary 7.7** (Kim and Oum [KO22]). *Let  $G$  be a prime graph with at least 6 vertices. For  
 294 every pair of vertices  $x, y \in V(G)$ ,  $G$  has a prime vertex-minor  $H$  containing both  $x$  and  $y$  such  
 295 that  $|V(H)| = |V(G)| - 1$ .*

296 The following theorem concerns the number of ways to obtain a fixed graph  $H$  as a vertex-  
 297 minor.

298 **Theorem 7.8** (Geelen and Oum [GO09, Theorem 1.10]). *Let  $H$  be a vertex-minor of  $G$ . If  
 299  $|V(G)| \geq 2^{|V(H)|}$ , then there is a vertex  $v$  such that at least two of  $G - v$ ,  $G * v - v$ , and  $G/v$   
 300 have  $H$  as a vertex-minor.*

301 For integers  $k$  and  $\ell$ , a graph  $G$  is called  $k^{+\ell}$ -rank-connected if  $\rho_G(X) < k$  implies  $\min(|X|, |V(G) -$   
 302  $X|) < k + \ell$  for all subsets  $X$  of  $V(G)$ . Note that a graph is prime if and only if it is  $2^{+0}$ -rank-  
 303 connected.

304 **Theorem 7.9** (Oum [Oum23, Proposition 2.7]). *If  $G$  is a  $k^{+\ell}$ -rank-connected and  $v$  is a vertex,  
 305 then  $G - v$  or  $G/v$  is  $k^{+(2\ell+k-1)}$ -rank-connected.*

306 **Theorem 7.10** (Oum [Oum23]). *If  $G$  is a prime  $3^{+2}$ -rank-connected graph with at least 10  
 307 vertices, then there is a vertex  $v$  such that  $G - v$  or  $G/v$  is prime  $3^{+3}$ -rank-connected.*

308 For a graph  $G$ , a set  $X \subseteq V(G)$  is *sequential* if its elements admit an ordering  $v_1, \dots, v_k$   
 309 such that such that  $\rho_G(\{a_1, \dots, a_i\}) \leq 2$  for each  $1 \leq i \leq k$ . A graph  $G$  is *sequentially 3-*  
 310 *rank-connected* if it is prime and for every  $X \subseteq V(G)$ ,  $\rho_G(X) > 2$  unless  $X$  or  $V(G) - X$  is  
 311 sequential.

312 **Theorem 7.11** (Lee and Oum [LO21]). *Every sequentially 3-rank-connected graph  $G$  has a  
 313 sequentially 3-rank-connected vertex-minor with one fewer vertex, unless  $|V(G)| \leq 12$ .*

314 Geelen proved a stronger theorem in his Ph.D. thesis, analogous to the splitter theorem for  
 315 3-connected graphs by Seymour [Sey88]. The proof is based on purely graph-theoretic methods.

316 **Theorem 7.12** (Geelen [Gee96, Lemma 5.9]). *Let  $G$  be a prime graph and  $H$  be a prime induced  
 317 subgraph of  $G$  with at least 4 vertices. If  $|V(H)| < |V(G)|$ , then at least one of the following  
 318 holds.*

- 319 (i)  $G$  has a vertex  $v$  such that  $G - v$  is prime and has a vertex-minor isomorphic to  $H$ .  
 320 (ii)  $G$  has a vertex  $w$  of degree 2 such that  $G * w - w$  is prime and has a vertex-minor isomorphic  
 321 to  $H$ .

322 From Theorems 7.3 and 7.12, Geelen derived the following corollary, stronger than Theo-  
 323 rem 7.4.

324 **Corollary 7.13** (Geelen [Gee96, Corollary 5.10]). *Let  $G$  be a prime graph with at least 6  
 325 vertices. Then at least one of the following holds.*

- 326 (i)  $G$  has a vertex  $v$  such that  $G - v$  is prime.  
 327 (ii)  $G$  has a vertex  $w$  of degree 2 such that  $G * w - w$  is prime.

328 Here is an alternative, slightly weaker, formulation of Theorem 7.12.

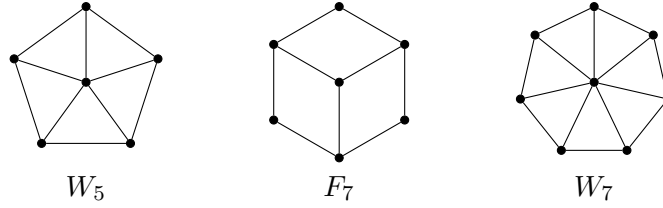


Figure 5: Vertex-minor obstructions for the class of circle graphs.

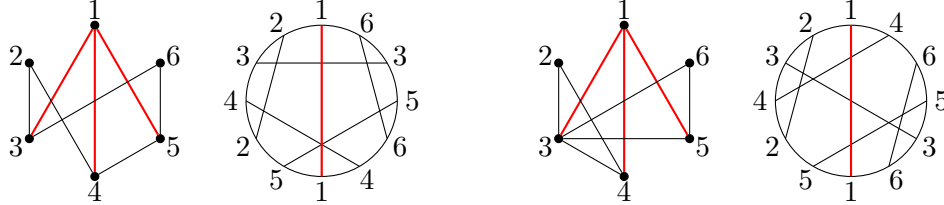


Figure 6: Two circle graphs  $G$  and  $G * 1$  with their chord diagrams. The chord diagram for  $G * 1$  is obtained by flipping one side of the circle divided by the chord representing 1.

329 **Corollary 7.14** (Geelen [Gee96, Corollary 5.11]). *Let  $G$  and  $H$  be prime graphs such that*  
 330  *$4 \leq |V(H)| < |V(G)|$ . If  $H$  is a vertex-minor of  $G$ , then there is a sequence of prime graphs*  
 331  *$G_1 := G, G_2, \dots, G_m$  such that*

- 332 • *for each  $i < m$ ,  $G_{i+1}$  is a vertex-minor of  $G_i$  with  $|V(G_{i+1})| = |V(G_i)| - 1$  and*
- 333 •  *$G_m$  is isomorphic to  $H$ .*

## 334 8 Forbidden vertex-minor characterizations

### 335 8.1 Circle graphs

336 It is straightforward to see that if  $G$  is a circle graph, then so is  $G * v$  for every vertex  $v$  of  $G$ ; this  
 337 can be achieved by taking the chord represented by  $v$  in the chord diagram representing  $G$  and  
 338 reversing one side of the circle to obtain the chord diagram of  $G * v$ , see Figure 6. Bouchet [Bou94]  
 339 proved the following analog of Kuratowski's theorem for circle graphs. Lee [Lee17] presented  
 340 an alternative proof.

341 **Theorem 8.1** (Bouchet [Bou94]). *A graph is a circle graph if and only if it has no vertex-minor*  
 342 *isomorphic to  $W_5$ ,  $F_7$ , or  $W_7$  in Figure 5.*

343 Geelen [Gee96] described the structure of graphs with no vertex-minors isomorphic to  $W_5$ .  
 344 Let  $Q_3$  be the 3-dimensional cube graph. Note that for any vertex  $v$  in  $Q_3$ ,  $Q_3 - v$  is isomorphic  
 345 to  $F_7$ .

346 **Theorem 8.2** (Geelen [Gee96, Theorem 5.14]). *A graph  $G$  has no vertex-minor isomorphic*  
 347 *to  $W_5$  if and only if one of the following holds.*

- 348 (i)  *$G$  is a prime circle graph.*
- 349 (ii)  *$G$  is locally equivalent to a graph isomorphic to  $W_7$ ,  $F_7$ , or  $Q_3$ .*
- 350 (iii)  *$G$  is the 1-join of two smaller graphs  $G_1$  and  $G_2$ , both of which are graphs with no vertex-*  
 351 *minors isomorphic to  $W_5$ .*

352 Theorem 7.8 immediately implies the following.

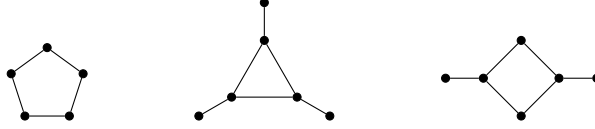


Figure 7: Vertex-minor obstructions for the class of graphs of linear rank-width 1.

353 **Theorem 8.3** (Geelen and Oum [GO09, Theorem 1.3]). *Let  $\mathcal{G}$  be a class of graphs closed under*  
 354 *taking vertex-minors. If every vertex-minor-minimal graph not in  $\mathcal{G}$  has at most  $k$  vertices, then*  
 355 *every pivot-minor-minimal graph not in  $\mathcal{G}$  has at most  $2^k - 1$  vertices.*

356 As a corollary, we deduce that every pivot-minor-minimal graph which is not a circle graph  
 357 has at most  $2^8 - 1$  vertices. In [GO09], Geelen and Oum found all such graphs by computer  
 358 search, which are total 15 graphs up to pivot-equivalence and isomorphism. This implies that  
 359 there is a list of 15 graphs such that a graph is a circle graph if and only if it has no pivot-  
 360 minor isomorphic to a graph in the list. Geelen and Oum [GO09] explain how this implies  
 361 Kuratowski's theorem regarding planar graphs.

362 Bouchet [Bou99] proved a theorem on the complement of a circle graph. An alternative  
 363 proof is given by Esperet and Stehlík [ES20].

364 **Theorem 8.4** (Bouchet [Bou99]). *Let  $G$  be a bipartite graph. If the complement of  $G$  is a circle*  
 365 *graph, then so is  $G$ .*

## 366 8.2 Distance-hereditary graphs

367 A graph is *distance-hereditary* [BM86] if for every connected induced subgraph  $H$  and two  
 368 vertices  $x$  and  $y$  of  $H$ , the distance between  $x$  and  $y$  in  $H$  is equal to the distance between  $x$   
 369 and  $y$  in  $G$ . Two vertices  $x$  and  $y$  are *twins* of a graph  $G$  if they have the same set of neighbors  
 370 in  $V(G) - \{x, y\}$ . Bandelt and Mulder [BM86] showed that all distance-hereditary graphs can  
 371 be built from  $K_1$  by creating twins and adding an isolated vertex or a pendant vertex to a  
 372 distance-hereditary graph. Oum [Oum05] observed that a graph is distance-hereditary if and  
 373 only if its rank-width is at most 1. Kwon and Oum [KO14b] showed the following obtained by  
 374 combining this observation with results of Bouchet [Bou88c, Bou87c].

375 **Theorem 8.5** (Bouchet [Bou88c, Bou87c], Oum [Oum05], and Kwon and Oum [KO14b, The-  
 376 orem 4.1]). *Let  $G$  be a graph. The following are equivalent.*

- 377 (i)  $G$  is distance-hereditary.
- 378 (ii)  $G$  has rank-width at most 1.
- 379 (iii)  $G$  has no vertex-minor isomorphic to  $C_5$ .
- 380 (iv)  $G$  is a vertex-minor of a tree.

## 381 8.3 Linear rank-width at most 1

382 The linear rank-width of a graph  $G$  is the minimum  $k$  such that there is an ordering  $v_1, v_2, \dots, v_n$   
 383 of all vertices of  $G$  with the property that

$$\rho_G(\{v_1, \dots, v_i\}) \leq k$$

384 for all  $i = 1, 2, \dots, n := |V(G)|$ . It is easy to see that the rank-width of a graph is less than or  
 385 equal to the linear rank-width of a graph. So, the class of graphs of linear rank-width at most  
 386 1 is a subclass of the class of distance-hereditary graphs. To describe the structure of graphs of

387 linear rank-width at most 1, Ganian [Gan11] defined thread graphs and proved that a graph is  
388 a thread graph if and only if it has linear rank-width at most 1.

389 Here is a theorem characterizing the class of graphs of linear rank-width at most 1.

390 **Theorem 8.6** (Adler, Farley, and Proskurowski [AFP14] and Kwon and Oum [KO14a, Theorem  
391 4.3]). *Let  $G$  be a graph. The following are equivalent.*

- 392 (i)  $G$  has linear rank-width at most 1.
- 393 (ii)  $G$  has no vertex-minor isomorphic to any graphs in Figure 7.
- 394 (iii)  $G$  is a vertex-minor of a path.

395 Here is an interesting theorem on the linear rank-width of a tree.

396 **Theorem 8.7** (Adler and Kanté [AK15]). *For every forest  $T$ , the linear rank-width of  $T$  is  
397 equal to the path-width of  $T$ .*

## 398 8.4 Rank-width and linear rank-width

399 **Theorem 8.8** (Oum [Oum05]). *For each  $k$ , there exists a finite list of graphs each having at  
400 most  $(6^{k+1} - 1)/5$  vertices such that a graph has rank-width at most  $k$  if and only if it has no  
401 pivot-minor isomorphic to a graph in the list.*

402 **Theorem 8.9** (Kanté, Kim, Kwon, and Oum [KKKO23]). *For each  $k$ , there exists a finite list  
403 of graphs each having at most  $2^{2^{O(k^2)}}$  vertices such that a graph has linear rank-width at most  $k$   
404 if and only if it has no pivot-minor isomorphic to a graph in the list.*

405 Note that if a class  $\mathcal{C}$  of graphs is closed under taking vertex-minors and has a list  $L$  of  
406 graphs such that

407  $\mathcal{C}$  is the class of all graphs having no pivot-minor isomorphic to any graph in  $L$ ,

408 then the same list can be used to state that

409  $\mathcal{C}$  is the class of all graphs having no vertex-minor isomorphic to any graph in  $L$ .

410 Thus, we can replace pivot-minors with vertex-minors in both Theorem 8.8 and Theorem 8.9.  
411 The converse of the above observation is not true in general, as witnessed by circle graphs.  
412 However, a weak converse is true, as we discussed in Theorem 8.3.

## 413 8.5 Well-quasi-ordering

414 So far we have witnessed several instances of graph classes closed under taking vertex-minors  
415 that admit characterizations in terms of finitely many forbidden vertex-minors or pivot-minors.  
416 The celebrated graph minors theorem of Robertson and Seymour [RS04] states that every proper  
417 minor-closed class of graphs is characterized by a finite set of forbidden minors. This property  
418 can be seen easily equivalent to the following statement; Every infinite sequence  $G_1, G_2, \dots$  of  
419 graphs has a pair  $i < j$  such that  $G_i$  is isomorphic to a minor of  $G_j$ .

420 We can extend this to quasi-orders. A binary relation  $\leq$  on  $X$  is a *quasi-order* if  $x \leq x$  for  
421 all  $x \in X$  and  $x \leq y$  and  $y \leq z$  implies  $x \leq z$ . A quasi-order  $\leq$  on  $X$  is a *well-quasi-ordering* if  
422 every infinite sequence of  $x_1, x_2, x_3, \dots \in X$  admits a pair  $i < j$  such that  $x_i \leq x_j$ . If so, we call  
423 the set  $X$  *well-quasi-ordered* by the relation  $\leq$ . The graph minors theorem can be equivalently  
424 stated that graphs are well-quasi-ordered by the minor relation.

425 Motivated by the graph minors theorem, it is very natural to propose the following conjec-  
426 tures.

427 **Conjecture 8.10.** *Graphs are well-quasi-ordered by the vertex-minor relation.*

428 **Conjecture 8.11** (Oum [Oum12, Oum17]). *Graphs are well-quasi-ordered by the pivot-minor*  
429 *relation.*

430 Bouchet [Bou94] conjectured that circle graphs are well-quasi-ordered by the vertex-minor  
431 relation. This is implied by the well-quasi-ordering of 4-regular graphs by the immersion re-  
432 lation, proved by Robertson and Seymour [RS10]. The relation between the immersions on  
433 4-regular graphs with their eulerian circuits and vertex-minors of circle graphs was observed by  
434 Kotzig [Kot68] and is described in the survey of Bouchet [Bou90].

435 **Theorem 8.12** (Implied by Robertson and Seymour [RS10]; see McCarty [McC21]). *Circle*  
436 *graphs are well-quasi-ordered by the vertex-minor relation.*

437 Pivot-minors of bipartite graphs are associated with minors of binary matroids, see Oum [Oum05].  
438 Geelen, Gerards, and Whittle [GGW14] proved that for every fixed finite field  $\mathbb{F}$ ,  $\mathbb{F}$ -representable  
439 matroids are well-quasi-ordered by the minor relation. This in particular means that binary  
440 matroids are well-quasi-ordered by the minor relation and so we deduce the following. As far  
441 as the authors know, its proof is still being written.

442 **Theorem 8.13** (Implied by Geelen, Gerards, and Whittle [GGW14]). *Bipartite graphs are*  
443 *well-quasi-ordered by the pivot-minor relation.*

444 Oum showed that graphs of bounded rank-width are well-quasi-ordered by the pivot-minor  
445 relation.

446 **Theorem 8.14** (Oum [Oum08a]). *Graphs of bounded rank-width are well-quasi-ordered by the*  
447 *pivot-minor relation.*

448 Oum [Oum09] studied pivot-minors of line graphs and found a formulation so that pivot-  
449 minors of line graphs correspond to graft minors where a graft is a pair  $(G, T)$  of a graph  $G$   
450 and a vertex set  $T$ . In the graft minors, contracting an edge  $e$  will make the new vertex belong  
451 to  $T$  if and only if exactly one end of  $e$  belongs to  $T$ . This can be seen as minors of group-  
452 labelled graphs where vertices have labels from the binary field. Geelen, Gerards, and Whittle  
453 announced that while proving their theorem for matroids [GGW14], they proved that group-  
454 labelled graphs with labels from the finite field are well-quasi-ordered by the minor relation and  
455 this implies that grafts are well-quasi-ordered by the graft minor relations. So this would imply  
456 that

457 pivot-minors of line graphs are well-quasi-ordered by the pivot-minor relation,

458 based on [Oum09].

459 For two graphs  $H_1$  and  $H_2$ , let  $\mathcal{C}$  be the class of graphs having no vertex-minor isomorphic  
460 to  $H_1$  or having no vertex-minor isomorphic to  $H_2$ . Clearly,  $\mathcal{C}$  is closed under taking vertex-  
461 minors. If Conjecture 8.10 holds, then there will be a list  $L$  of finitely many graphs such that a  
462 graph belongs to  $\mathcal{C}$  if and only if it has no vertex-minor isomorphic to a graph in  $L$ . Each graph  
463 in  $L$  is required to have both a vertex-minor isomorphic to  $H_1$  and a vertex-minor isomorphic  
464 to  $H_2$ . So the conjecture 8.10 implies that there are only finitely many vertex-minor-minimal  
465 graphs containing both a vertex-minor isomorphic to  $H_1$  and a vertex-minor isomorphic to  $H_2$ .  
466 This is verified by Geelen and Oum [GO09] with an explicit bound by using Theorem 7.8.

467 **Theorem 8.15** (Geelen and Oum [GO09, Theorem 1.11]). *For graphs  $H_1$  and  $H_2$ , if  $G$  is a*  
468 *vertex-minor-minimal graphs containing both a vertex-minor isomorphic to  $H_1$  and a vertex-*  
469 *minor isomorphic to  $H_2$ , then*

$$|V(G)| \leq 2^{|V(H_1)|} + 2^{|V(H_2)|} - 2.$$

470 It is open whether the analog holds for pivot-minors, which would be a consequence of  
 471 Conjecture 8.11.

472 **Conjecture 8.16** (Lee and Oum [LO23]). *For graphs  $H_1$  and  $H_2$ , up to isomorphisms, there*  
 473 *are only finitely many pivot-minor-minimal graphs containing both a pivot-minor isomorphic to*  
 474  *$H_1$  and a pivot-minor isomorphic to  $H_2$ .*

## 475 9 Approximate characterizations in terms of vertex-minors

### 476 9.1 Large graphs

477 Here are easy observations derived from Ramsey’s theorem, observed in Kwon and Oum [KO14b,  
 478 Theorem 3.1]. Here,  $nK_2$  means the disjoint union of  $n$  copies of  $K_2$ .

479 **Theorem 9.1** (Kwon and Oum [KO14b, Theorem 3.1]). *Let  $\mathcal{C}$  be a class of graphs closed under*  
 480 *taking vertex-minors.*

- 481 (i) *Graphs in  $\mathcal{C}$  have bounded number of vertices if and only if  $\{\overline{K_n} : n \geq 1\} \not\subseteq \mathcal{C}$ .*
- 482 (ii) *Graphs in  $\mathcal{C}$  have bounded number of edges if and only if  $\{K_n : n \geq 1\} \not\subseteq \mathcal{C}$  and  $\{nK_2 :$   
 483  $n \geq 1\} \not\subseteq \mathcal{C}$ .*
- 484 (iii) *Connected graphs in  $\mathcal{C}$  have bounded number of vertices if and only if  $\{K_n : n \geq 1\} \not\subseteq \mathcal{C}$ .*

485 Although we stated the above theorem as an exact theorem on classes of graphs closed under  
 486 taking vertex-minors, we could interpret it as a rough structure theorem for graphs excluding  
 487 certain vertex-minors. For instance, Theorem 9.1(i) can be restated as follows:

488 For every integer  $n$ , there exists  $N$  such that graphs without a vertex-minor isomor-  
 489 phic to  $\overline{K_n}$  have at most  $N$  vertices.

490 In fact, this is how Kwon and Oum [KO14b] stated their theorem. All theorems in this section  
 491 can be restated in such a form.

492 For a positive integer  $n$ ,  $K_n \boxplus K_n$  is the graph obtained from the disjoint union of two  
 493 complete graphs on  $n$  vertices by adding a perfect matching joining those two complete graphs.

494 **Theorem 9.2** (Kwon and Oum [KO14b, Theorem 7.1]). *Let  $\mathcal{C}$  be a class of graphs closed*  
 495 *under taking vertex-minors. Prime graphs in  $\mathcal{C}$  have bounded number of vertices if and only if*  
 496  *$\{C_n : n \geq 3\} \not\subseteq \mathcal{C}$  and  $\{K_n \boxplus K_n : n \geq 1\} \not\subseteq \mathcal{C}$ .*

497 **Conjecture 9.3** (McCarty [McC21, Conjecture 3.5.4]). *For every positive integer  $k$ , there exists*  
 498 *an integer  $N$  such that if a graph  $G$  has a vertex set  $A$  with the property that more than  $c|A|^2$*   
 499 *vertices in  $V(G) - A$  have pairwise distinct neighborhoods in  $A$ , then every  $k$ -vertex graph is*  
 500 *isomorphic to a vertex-minor of  $G$ .*

### 501 9.2 Large rank-depth or rank-brittleness

502 A *decomposition* of a graph  $G$  is a pair  $(T, \sigma)$  of a tree  $T$  having at least one internal node and  
 503 a bijection  $\sigma$  from  $V(G)$  to the set of leaves of  $T$ . The *radius* of a decomposition  $(T, \sigma)$  is the  
 504 radius of the tree  $T$ . Each internal node of  $T$  induces a partition  $\mathcal{P}_v$  of  $V(G)$  by taking vertices  
 505 of  $G$  mapped to the same component of  $T - v$  by  $\sigma$  as one part. The  $\rho_G$ -width of a partition  
 506  $\mathcal{P}_v$  is defined as  $\max\{\rho_G(\bigcup_{X \in \mathcal{Q}} X) : \emptyset \neq \mathcal{Q} \subseteq \mathcal{P}_v\}$ . The *width* of a decomposition  $(T, \sigma)$   
 507 is the maximum width of  $\mathcal{P}_v$  among all internal nodes  $v$  of  $T$ . The *depth- $d$  rank-brittleness*  
 508 of a graph  $G$  [KO21] is the minimum width of a decomposition of  $G$  having radius at most  
 509  $d$ . (If  $|V(G)| < 2$ , then we define the depth- $d$  rank-brittleness to be 0.) The *rank-depth* of a  
 510 graph  $G$  [DKO20] is the minimum integer  $k$  such that  $G$  has a decomposition of radius at most

511  $k$  and width at most  $k$ . DeVos, Kwon, and Oum [DKO20] showed that a class of graphs has  
 512 bounded rank-depth if and only if it has bounded shrub-depth and so we omit the definition of  
 513 shrub-depth [GHN<sup>+</sup>19] in this survey. We denote by  $T_{2,n}$  the 1-subdivision of  $K_{1,n}$ .

514 **Theorem 9.4** (Kwon and Oum [KO21]). *Let  $\mathcal{C}$  be a class of graphs closed under taking vertex-*  
 515 *minors.*

- 516 (i) *Graphs in  $\mathcal{C}$  have bounded depth-1 rank-brittleness if and only if  $\{nK_2 : n \geq 1\} \not\subseteq \mathcal{C}$ .*  
 517 (ii) *Graphs in  $\mathcal{C}$  have bounded depth-2 rank-brittleness if and only if  $\{P_n : n \geq 1\} \not\subseteq \mathcal{C}$  and*  
 518  *$\{nT_{2,n} : n \geq 1\} \not\subseteq \mathcal{C}$ .*

519 A class of graphs is *rank  $k$ -scattered* if there is an integer  $N$  such that every graph  $G$  admits  
 520 a partition of its vertex set into sets of size at most  $k$  such that  $\rho_G(X) \leq N$  whenever  $X$  is the  
 521 union of a collection of those sets.

522 **Theorem 9.5** (Kwon and Oum [KO20]). *Let  $k$  be a positive integer. Let  $\mathcal{C}$  be a class of graphs*  
 523 *closed under taking vertex-minors. Then  $\mathcal{C}$  is rank  $k$ -scattered if and only if*

$$\{nH : n \geq 1\} \not\subseteq \mathcal{C}$$

524 *for every connected graph  $H$  on  $k + 1$  vertices.*

525 **Theorem 9.6** (Kwon, McCarty, Oum, and Wollan [KMOW21]). *Let  $\mathcal{C}$  be a class of graphs*  
 526 *closed under taking vertex-minors. Graphs in  $\mathcal{C}$  have bounded rank-depth if and only if  $\{P_n :$   
 527  $n \geq 1\} \not\subseteq \mathcal{C}$ .*

528 In prime graphs, having a long cycle as a vertex-minor is equivalent to having a long path  
 529 as a vertex-minor, as shown by Kwon and Oum.

530 **Lemma 9.7** (Kwon and Oum [KO14b, Theorem 6.1]). *Every prime graph with an induced path*  
 531 *of length  $\lceil 6.75n^7 \rceil$  has a vertex-minor isomorphic to  $C_n$ .*

532 By Theorem 9.6 and the previous lemma, we deduce the following.

533 **Corollary 9.8.** *Let  $\mathcal{C}$  be a class of graphs closed under taking vertex-minors. Prime graphs in*  
 534  *$\mathcal{C}$  have bounded rank-depth if and only if  $\{C_n : n \geq 1\} \not\subseteq \mathcal{C}$ .*

535 The previous corollary can be restated equivalently as follows. For every integer  $n \geq 3$ ,  
 536 there exists an integer  $N$  such that if  $G$  has no vertex-minor isomorphic to  $C_n$ , then  $G$  can be  
 537 built from graphs of rank-depth at most  $N$  by repeatedly applying 1-join.

538 **Theorem 9.9** (Hliněný, Kwon, Obdržálek, and Ordyniak [HKOO16]). *A class  $\mathcal{C}$  of graphs has*  
 539 *bounded rank-depth if and only if there is a class  $\mathcal{D}$  of graphs of bounded tree-depth such that*  
 540 *every graph in  $\mathcal{C}$  is a vertex-minor of a graph in  $\mathcal{D}$ .*

### 541 9.3 Large linear rank-width

542 **Conjecture 9.10** (Kanté and Kwon [KK18]). *Let  $\mathcal{C}$  be a class of graphs closed under taking*  
 543 *vertex-minors. Graphs in  $\mathcal{C}$  have bounded linear rank-width if and only if there exists a tree not*  
 544 *in  $\mathcal{C}$ .*

545 A natural analog of Conjecture 9.10 for pivot-minors is false, shown by Dabrowski, Dross,  
 546 Jeong, Kanté, Kwon, Oum, and Paulusma [DDJ<sup>+</sup>21]. A *caterpillar* is a tree having a path such  
 547 that every vertex is within distance 1 from the path.

548 **Theorem 9.11** (Dabrowski, Dross, Jeong, Kanté, Kwon, Oum, and Paulusma [DDJ<sup>+</sup>21, Corol-  
 549 lary 1.6]). *Let  $T$  be a tree. The class of distance-hereditary graphs having no pivot-minors*  
 550 *isomorphic to  $T$  has bounded linear rank-width if and only if  $T$  is a caterpillar.*

551 **Conjecture 9.12** (Dabrowski, Dross, Jeong, Kanté, Kwon, Oum, and Paulusma [DDJ<sup>+</sup>21,  
552 Conjecture 3]). *For every positive integer  $n$ , the class of graphs having no pivot-minors isomor-  
553 phic to  $P_n$  has bounded linear rank-width.*

554 **Theorem 9.13** (Dabrowski, Dross, Jeong, Kanté, Kwon, Oum, and Paulusma [DDJ<sup>+</sup>21, The-  
555 orem 1.5]). *For every integer  $n > 2$ , distance-hereditary graphs having no pivot-minors isomor-  
556 phic to  $P_n$  have linear rank-width at most  $2n - 5$ .*

557 **Theorem 9.14** (Kanté and Kwon [KK18]). *Let  $T$  be a tree. Every distance-hereditary graph  
558 of sufficiently large linear rank-width has a vertex-minor isomorphic to  $T$ .*

559 **Theorem 9.15** (Kwon and Oum [KO14a]). *The following are equivalent for a class  $\mathcal{C}$  of graphs.*

- 560 (i) *Graphs in  $\mathcal{C}$  have bounded linear rank-width.*
- 561 (ii) *There is a class  $\mathcal{D}$  of graphs of bounded path-width such that every graph in  $\mathcal{C}$  is a pivot-  
562 minor of a graph in  $\mathcal{D}$ .*
- 563 (iii) *There is a class  $\mathcal{D}$  of graphs of bounded path-width such that every graph in  $\mathcal{C}$  is a vertex-  
564 minor of a graph in  $\mathcal{D}$ .*

565 Note that Kwon and Oum [KO14a] shows the implication from (i) to (ii). The implication  
566 from (iii) to (i) follows from the following theorem of Adler and Kanté [AK15].

567 **Theorem 9.16** (Adler and Kanté [AK15, Lemma 5]). *The linear rank-width of a graph is less  
568 than or equal to its path-width.*

## 569 9.4 Large rank-width

570 The following theorem, initially asked by Oum [Oum05, Section 8], proves that circle graphs  
571 are precisely the vertex-minor obstruction for having large rank-width.

572 **Theorem 9.17** (Geelen, Kwon, McCarty, and Wollan [GKMW23]). *Let  $\mathcal{C}$  be a class of graphs  
573 closed under taking vertex-minors. Graphs in  $\mathcal{C}$  have bounded rank-width if and only if there  
574 exists a circle graph not in  $\mathcal{C}$ .*

575 Oum [Oum09] conjectured that bipartite circle graphs are precisely the pivot-minor obstruc-  
576 tion for having large rank-width.

577 **Conjecture 9.18** (Oum [Oum09]). *Let  $\mathcal{C}$  be a class of graphs closed under taking pivot-minors.  
578 Graphs in  $\mathcal{C}$  have bounded rank-width if and only if there exists a bipartite circle graph not in  
579  $\mathcal{C}$ .*

580 This conjecture has been verified for a small number of classes.

- 581 (i) True if  $\mathcal{C}$  is a class of bipartite graphs. This is implied by the theorem on binary matroids  
582 due to Geelen, Gerards, and Whittle [GGW07] and is explained in [Oum05, Corollary  
583 3.9].
- 584 (ii) True if  $\mathcal{C}$  is a class of pivot-minors of line graphs. This was shown by Oum [Oum09].
- 585 (iii) True if  $\mathcal{C}$  is a class of circle graphs, if we assume the result in the Ph.D. thesis of John-  
586 son [Joh02, Theorem 2.5], as explained in Oum [Oum09].

587 We remark that Conjecture 9.18 implies both the grid minor theorem of Robertson and Sey-  
588 mour [RS86] and its analog for binary matroids by Geelen, Gerards, and Whittle [GGW07].  
589 This is explained in [Oum08a, GGW07].



590 **Theorem 9.19** (Kwon and Oum [KO14a]). *The following are equivalent for a class  $\mathcal{C}$  of graphs.*

591 (i) *Graphs in  $\mathcal{C}$  have bounded rank-width.*

592 (ii) *There is a class  $\mathcal{D}$  of graphs of bounded tree-width such that every graph in  $\mathcal{C}$  is a pivot-*  
593 *minor of a graph in  $\mathcal{D}$ .*

594 (iii) *There is a class  $\mathcal{D}$  of graphs of bounded tree-width such that every graph in  $\mathcal{C}$  is a vertex-*  
595 *minor of a graph in  $\mathcal{D}$ .*

596 Note that the implication from (iii) to (i) is implied by the following theorem.

597 **Theorem 9.20** (Oum [Oum08b]). *Let  $G$  be a graph with tree-width  $t$  and rank-width  $r$ . Then*  
598  *$r \leq t + 1$ .*

599 A class  $\mathcal{G}$  of graphs closed under taking induced subgraph is called *induced-tw-bounded* if  
600 there is a function  $f$  such that every graph  $G \in \mathcal{G}$  of tree-width at least  $f(t)$  contains  $K_t$ ,  $K_{t,t}$ ,  
601 a subdivision of the  $t \times t$ -wall, or the line graph of a subdivision of the  $t \times t$ -wall as an induced  
602 subgraph.

603 **Theorem 9.21** (Hickingbotham, Illingworth, Mohar, and Wood [HIMW22, Theorem 23]). *Let*  
604  *$\mathcal{C}$  be a class of graphs closed under taking vertex-minors. Then  $\mathcal{C}$  has bounded rank-width if and*  
605 *only if it is induced-tw-bounded.*

## 606 10 $\chi$ -boundedness

607 For a graph  $G$ , we write  $\chi(G)$  to denote its chromatic number and  $\omega(G)$  to denote the maximum  
608 size of a clique. A class of graphs is  *$\chi$ -bounded* if there is a function  $f : \mathbb{Z} \rightarrow \mathbb{Z}$  such that  
609  $\chi(G) \leq f(\omega(G))$  for every induced subgraph  $G$  of a graph in the class. Such a function  $f$  is  
610 called the  *$\chi$ -bounding function*.

611 Geelen (see [DK12]) conjectured in 2009 at the DIMACS workshop held at Princeton Uni-  
612 versity that every proper vertex-minor closed class of graphs is  $\chi$ -bounded. This had been  
613 verified for circle graphs by Gyárfás [Gyá85, Gyá86], graphs of bounded rank-width and graphs  
614 without a vertex-minor isomorphic to  $W_5$  by Dvořák and Král' [DK12], and graphs with no  
615 vertex-minor isomorphic to a fixed wheel graph by Choi, Kwon, Oum, and Wollan [CKOW19].

616 James Davies resolved the conjecture as follows.

617 **Theorem 10.1** (Davies [Dav22b]). *For every graph  $H$ , there is a function  $f$  such that for every*  
618 *graph  $G$  with no vertex-minor isomorphic to  $H$ , we have  $\chi(G) \leq f(\omega(G))$ .*

619 If the  $\chi$ -bounding function can be taken as a polynomial, then the class is called *polynomially*  
620  *$\chi$ -bounded*. Kim, Kwon, Oum, and Sivaraman [CKOW19] proposed the following conjecture and  
621 proved it when  $H$  is a cycle.

622 **Conjecture 10.2** (Kim, Kwon, Oum, and Sivaraman [CKOW19]). *Let  $H$  be a graph. The*  
623 *class of graphs with no vertex-minor isomorphic to  $H$  is polynomially  $\chi$ -bounded.*

### 624 10.1 Circle graphs

625 Gyárfás [Gyá85, Gyá86] showed that circle graphs are  $\chi$ -bounded but until recently it was an  
626 open problem to decide whether or not circle graphs are polynomially  $\chi$ -bounded. This is now  
627 proven by Davies and McCarty.

628 **Theorem 10.3** (Davies and McCarty [DM21]). *If  $G$  is a circle graph, then  $\chi(G) \leq 7\omega(G)^2$ .*

629 Davies further improves the  $\chi$ -bounding function.

630 **Theorem 10.4** (Davies [Dav22a]). *If  $G$  is a circle graph, then*

$$\chi(G) \leq 2\omega(G) \log_2(\omega(G)) + 2\omega(G) \log_2 \log_2 \omega(G) + 10\omega(G).$$

631 He also improves an existing lower bound for the  $\chi$ -bounding function for circle graphs.

632 **Theorem 10.5** (Davies [Dav22a]). *For every positive integer  $k$ , there is a circle graph  $G$  such*  
633 *that  $\omega(G) \leq k$  and  $\chi(G) \geq k \ln k - 2k$ .*

634 For the class  $\mathcal{G}$  of graphs closed under taking induced subgraphs, let  $\mathcal{G}^\&$  be its closure under  
635 disjoint union and 1-join.

636 **Theorem 10.6** (Dvořák and Král' [DK12], Kim [Kim11]). *Let  $\mathcal{G}$  be a class of graphs closed*  
637 *under taking induced subgraphs. If  $\mathcal{G}$  is  $\chi$ -bounded, then so is  $\mathcal{G}^\&$ .*

638 **Theorem 10.7** (Kim, Kwon, Oum, and Sivaraman [KKOS20]). *Let  $\mathcal{G}$  be a class of graphs*  
639 *closed under taking induced subgraphs. If  $\mathcal{G}$  is polynomially  $\chi$ -bounded, then so is  $\mathcal{G}^\&$ .*

640 As a corollary of Theorems 8.2 and 10.3, we deduce the following.

641 **Theorem 10.8.** *The class of graphs having no vertex-minors isomorphic to  $W_5$  is polynomially*  
642  *$\chi$ -bounded.*

## 643 10.2 Rank-width and polynomially $\chi$ -boundedness

644 **Theorem 10.9** (Bonamy and Pilipczuk [BP20]). *Every class of graphs with bounded rank-width*  
645 *is polynomially  $\chi$ -bounded.*

646 Now, Theorem 10.9 is now implied by results on twin-width, a relatively new width param-  
647 eter introduced by Bonnet, Kim, Thomassé, and Watrigant [BKTW22]. They showed that if a  
648 class of graphs has bounded rank-width, then it has bounded twin-width. Bonnet, Geniet, Kim,  
649 Thomassé, and Watrigant [BGK<sup>+</sup>20, BGK<sup>+</sup>21] showed that every class of graphs of bounded  
650 twin-width is  $\chi$ -bounded. Pilipczuk and Sokółowski [PS22] announced that the  $\chi$ -bounding  
651 function can be taken as a quasi-polynomial. Recently, Bourneuf and Thomassé [BT23] an-  
652 nounced that a class of graphs of bounded twin-width is polynomially  $\chi$ -bounded. This would  
653 imply Theorem 10.9.

654 Here is a corollary of Theorems 10.9 and 9.17 which verifies Conjecture 10.2 when  $H$  is a  
655 circle graph.

656 **Corollary 10.10.** *Let  $H$  be a circle graph. The class of graphs with no vertex-minor isomorphic*  
657 *to  $H$  is polynomially  $\chi$ -bounded.*

658 Corollary 10.10 implies the result of Kim, Kwon, Oum, and Sivaraman [CKOW19] for graphs  
659 having no vertex-minor isomorphic to  $C_n$ .

660 The degree of a  $\chi$ -bounding function for the class of graphs of rank-width at most  $k$  cannot  
661 be independent of  $k$ .

662 **Theorem 10.11** (Bonamy and Pilipczuk [BP20, Lemma 5.2]). *For every  $k$ , if  $f$  is a  $\chi$ -bounding*  
663 *polynomial for the class of graphs of rank-width at most  $k$ , then the degree of  $f$  is at least*  
664  *$\Omega(\log k)$ .*

## 665 10.3 Linear rank-width and linearly $\chi$ -boundedness

666 If the  $\chi$ -bounding function can be taken as a linear, then the class is called *linearly  $\chi$ -bounded*.

667 **Theorem 10.12** (Nešetřil, Ossona de Mendez, Rabinovich, and Siebertz [NODMRS21]). *Every*  
668 *class of graphs with bounded linear rank-width is linearly  $\chi$ -bounded.*

669 By Theorem 9.6, graphs without a vertex-minor isomorphic to  $P_n$  have bounded rank-  
 670 depth. Since graphs of bounded rank-depth have bounded linear rank-width, we deduce the  
 671 following result from Theorem 10.12. This improves the previous result by Kim, Kwon, Oum,  
 672 and Sivaraman [CKOW19], who showed that a class of graphs with no vertex-minor isomorphic  
 673 to  $P_n$  is polynomially  $\chi$ -bounded.

674 **Corollary 10.13.** *Let  $n$  be a positive integer. Every class of graphs with no vertex-minors*  
 675 *isomorphic to  $P_n$  is linearly  $\chi$ -bounded.*

## 676 10.4 Erdős-Hajnal property

677 A class  $\mathcal{G}$  of graphs closed under taking induced subgraphs has the *Erdős-Hajnal property* if  
 678 there exists  $\varepsilon > 0$  such that every graph  $G$  in  $\mathcal{G}$  has an independent set or a clique of size at least  
 679  $|V(G)|^\varepsilon$ , where a *clique* in a graph is a set of pairwise adjacent vertices, and an *independent*  
 680 *set* in a graph is a set of pairwise non-adjacent vertices. Erdős and Hajnal [EH89] conjectured  
 681 that for every graph  $H$ , the class of graphs with no induced subgraph isomorphic to  $H$  has the  
 682 Erdős-Hajnal property. Chudnovsky and Oum [CO18] proved the affirmative result for a class  
 683 of graphs forbidding any graph as a vertex-minor.

684 **Theorem 10.14** (Chudnovsky and Oum [CO18]). *For every graph  $H$ , the class of graphs with*  
 685 *no vertex-minor isomorphic to  $H$  has the Erdős-Hajnal property.*

686 They indeed proved the following stronger theorem. In a graph, two disjoint sets  $A$  and  $B$   
 687 of vertices is *complete* if every vertex in  $A$  is adjacent to all vertices in  $B$ , and *anticomplete* if  
 688 there is no edge between  $A$  and  $B$ .

689 **Theorem 10.15** (Chudnovsky and Oum [CO18]). *For every graph  $H$ , there exists  $\varepsilon > 0$  such*  
 690 *that every  $n$ -vertex graph with no vertex-minor isomorphic to  $H$  has a pair of disjoint vertex*  
 691 *sets  $A$  and  $B$  such that  $|A|, |B| \geq \varepsilon n$  and  $A$  is either complete or anticomplete to  $B$ .*

692 We remark that Conjecture 10.2 implies Theorem 10.14. Davies [Dav23] announced that  
 693 one can replace the vertex-minor with the pivot-minor in Theorem 10.14.

## 694 11 Testing vertex-minors

695 Vertex-minors are related to graph states studied in the field of quantum information theory  
 696 and quantum computing. Van den Nest, Dehaene, and De Moor [VdNDM04] discovered that  
 697 vertex-minor operations on graphs represent local Clifford operations on graph states. They  
 698 are interested in finding a particular vertex-minor from a given graph, as it has applications  
 699 on graph states and so some of the papers in this section are actually published in a physics  
 700 journal.

701 Bouchet [Bou91a] presented a polynomial-time algorithm deciding whether two graphs are  
 702 locally equivalent, by observing this problem is equivalent to finding solutions of a system of  
 703 equations over the binary field.

704 **Theorem 11.1** (Bouchet [Bou91a]). *There is an  $O(n^4)$ -time algorithm to decide whether two*  
 705  *$n$ -vertex graphs on the same vertex set are locally equivalent.*

706 Dahlberg, Helsen, and Wehner [DHW20a] proved that counting locally equivalent graphs is  
 707  $\#P$ -complete by reducing this problem on circle graphs to the problem of counting Eulerian  
 708 circuits in a 4-regular graph.

709 **Theorem 11.2** (Dahlberg, Helsen, and Wehner [DHW20a, Theorem V.1]). *Computing the*  
 710 *number of graphs locally equivalent to an input graph is  $\#P$ -complete, even if the input graph*  
 711 *is a circle graph.*

712 In contrast to the local equivalence, it is NP-complete to decide whether one graph is a  
 713 vertex-minor of another graph.

714 **Theorem 11.3** (Dahlberg, Helsen, and Wehner [DHW20b, Theorem 3.1]). *Deciding whether*  
 715  *$H$  is a vertex-minor of  $G$  for two input graphs  $G$  and  $H$  with  $V(H) \subseteq V(G)$  is NP-complete,*  
 716 *even if  $H$  is a complete graph and  $G$  is a circle graph.*

717 **Theorem 11.4** (Dahlberg, Helsen, and Wehner [DHW22]). *Deciding whether  $H$  is isomorphic*  
 718 *to a vertex-minor of  $G$  for two input graphs  $G$  and  $H$  is NP-complete, even if both  $G$  and  $H$*   
 719 *are circle graphs.*

720 **Theorem 11.5** (Dabrowski, Dross, Jeong, Kanté, Kwon, Oum, and Paulusma [DDJ<sup>+</sup>18]).  
 721 *Deciding whether  $H$  is isomorphic to a pivot-minor of  $G$  for two input graphs  $G$  and  $H$  is*  
 722 *NP-complete, even if  $H$  is a star graph and  $G$  is bipartite.*

723 Courcelle and Oum [CO07] constructed a modulo-2 counting monadic second-order trans-  
 724 duction that maps a graph into the set of all vertex-minors and this allows them to prove the  
 725 following theorem.

726 **Theorem 11.6** (Courcelle and Oum [CO07]). *Let  $H$  be a fixed graph and  $t$  be an integer. There*  
 727 *is an  $O(n^3)$ -time algorithm to decide whether an input  $n$ -vertex graph of rank-width at most  $t$*   
 728 *contains a vertex-minor isomorphic to  $H$ .*

729 By Theorems 9.17 and 11.6, we deduce the following.

730 **Theorem 11.7.** *For each fixed circle graph  $H$ , there is an  $O(n^3)$ -time algorithm to decide*  
 731 *whether an input  $n$ -vertex graph  $G$  contains a vertex-minor isomorphic to  $H$ .*

732 It is not known whether we can eliminate the condition that  $H$  is a circle graph in the  
 733 previous theorem, in contrast that by [RS95], there is an  $O(n^3)$ -time algorithm deciding whether  
 734 one fixed graph is isomorphic to a graph minor of an input  $n$ -vertex graph. By using the method  
 735 of Courcelle and Oum [CO07], it is straightforward to deduce the following algorithm to check  
 736 vertex-minors without isomorphisms.

737 **Theorem 11.8** (Courcelle and Oum [CO07]). *Let  $H$  be a fixed graph and  $t$  be an integer. There*  
 738 *is an  $O(n^3)$ -time algorithm to decide whether an input  $n$ -vertex graph  $G$  of rank-width at most  $t$*   
 739 *with  $V(H) \subseteq V(G)$  contains  $H$  as a vertex-minor.*

740 **Theorem 11.9** (Dahlberg, Helsen, and Wehner [DHW20b, Theorem 4.7]). *There is a function*  
 741  *$f : \mathbb{Z} \rightarrow \mathbb{Z}$  such that for each positive integer  $t$ , we have an  $f(t)n^{O(1)}$ -time algorithm to decide*  
 742 *whether an input  $n$ -vertex circle graph contains a complete vertex-minor on an input set of at*  
 743 *most  $t$  vertices.*

## 744 12 Interlace polynomials

745 This section will introduce interlace polynomials and global interlace polynomials. For more  
 746 details, there is a well-written survey by Brijder and Jan Hoogeboom [BJH22].

747 Arratia, Bollobás, and Sorkin [ABS04] defined (single-variable) interlace polynomials of  
 748 graphs recursively but later Aigner and van der Holst [AvdH04] presented the following equiva-  
 749 lent definition. Let  $A$  be the adjacency matrix of a graph  $G$  over the binary field. For a subset  
 750  $S$  of  $V(G)$ , we write  $A[S]$  to denote the  $S \times S$  principal submatrix of  $A$ . The (single-variable)  
 751 *interlace polynomial* of  $G$  is defined as

$$q(G, x) = \sum_{S \subseteq V(G)} (x - 1)^{|S| - \text{rank}(A[S])}.$$

752 **Theorem 12.1** (Arratia, Bollobás, and Sorkin [ABS04, Theorem 12], Aigner and van der  
753 Holst [AvdH04, Corollary 1]). *The interlace polynomial is the unique map  $q$  satisfying the fol-*  
754 *lowing two conditions.*

755 (i) *If a graph  $G$  has an edge  $uv$ , then*

$$q(G, x) = q(G - u, x) + q(G \wedge uv - u, x).$$

756 (ii) *If a graph  $G$  is a graph with  $n$  vertices and no edges, then  $q(G, x) = x^n$ .*

757 Aigner and van der Holst [AvdH04] observed the following.

758 **Corollary 12.2.** *If  $G$  and  $G'$  are pivot-equivalent, then  $q(G, x) = q(G', x)$ .*

759 A special case of the following theorem was proved by Balister, Bollobás, Cutler, and Pe-  
760 body [BBCP02] for  $x = -1$ .

761 **Theorem 12.3** (Aigner and van der Holst [AvdH04, Corollary 1]). *Let  $A$  be the adjacency*  
762 *matrix of a graph  $G$  over the binary field. For a subset  $S$  of  $V$ , we write  $A[S]$  to denote the*  
763  *$S \times S$  submatrix of  $A$ . Then,*

$$q(G, x) = \sum_{S \subseteq V(G)} (x - 1)^{|S| - \text{rank}(A[S])}.$$

764 Martin [Mar77] showed the relation between the Tutte polynomial of a planar graph  $H$   
765 and the interlace polynomial of a fundamental graph  $G$  of  $H$ , where  $G$  is a circle graph by de  
766 Fraysseix [dF81]. This result is generalized as follows.

767 **Theorem 12.4** (Aigner and van der Holst [AvdH04, Theorem 3], Bouchet [Bou91b]). *Let  $M$*   
768 *be a binary matroid and  $G$  be its fundamental graph. Then  $q(G, x) = T_M(x, x)$ , where  $T_M(x, y)$*   
769 *is the Tutte polynomial of  $M$ .*

770 **Theorem 12.5** (Aigner and van der Holst [AvdH04]). *For a graph  $G$ ,  $q(G, 1)$  equals the number*  
771 *of induced subgraphs of  $G$  with an odd number of perfect matchings. In particular, if  $G$  is a*  
772 *forest, then  $q(G, 1)$  equals the number of matchings of  $G$ .*

773 Aigner and van der Holst [AvdH04] defined another polynomial  $Q(G, x)$  satisfying a 3-term  
774 recursion. Let  $A$  be the adjacency matrix of a graph  $G$  over the binary field and for a set  $X$   
775 of vertices, let  $I_X$  be the  $V(G) \times V(G)$  diagonal matrix over the binary field such that the  
776  $(v, v)$ -entry is 1 if and only if  $v \in X$ . We use the notation  $(A + I_T)[S]$  to denote the  $S \times S$   
777 submatrix of  $A + I_T$ . We define the *global interlace polynomial* as

$$Q(G, x) = \sum_{T \subseteq S \subseteq V(G)} (x - 2)^{|S| - \text{rank}((A + I_T)[S])}.$$

778 **Theorem 12.6** (Aigner and van der Holst [AvdH04, Section 4], Bouchet [Bou91b]). *The global*  
779 *interlace polynomial  $Q$  satisfies the following.*

780 (i) *If a graph  $G$  has an edge  $uv$ , then*

$$Q(G, x) = Q(G - u, x) + Q(G * u - u, x) + Q(G \wedge uv - u, x).$$

781 (ii) *If a graph  $G$  is a graph with  $n$  vertices and no edges, then  $Q(G, x) = x^n$ .*

782 **Corollary 12.7** (Aigner and van der Holst [AvdH04, Corollary 4]). *If  $G$  and  $G'$  are locally*  
783 *equivalent, then  $Q(G, x) = Q(G', x)$ .*

784 A graph is *Eulerian* if every vertex has even degree.

785 **Theorem 12.8** (Aigner and van der Holst [AvdH04, Theorem 5]). *For an  $n$ -vertex graph  $G$ ,*  
 786  *$Q(G, 4)/2^n$  equals the number of induced Eulerian subgraphs.*

787 By Theorem 12.8 and Corollary 12.7, we deduce that locally equivalent graphs have the  
 788 same number of induced Eulerian subgraphs.

789 We remark that Bouchet [Bou91b] defined the Tutte-Martin polynomial and the restricted  
 790 Tutte-Martin polynomial of an isotropic system, which are essentially equivalent to the interlace  
 791 polynomial and the global interlace polynomial of a graph, respectively, by [AvdH04, Section 6].

## 792 13 Isotropic systems

793 Isotropic systems, defined by Bouchet [Bou87b], are maximally isotropic subspace of even-  
 794 dimensional vector space over the binary field equipped with a non-degenerate symmetric bilin-  
 795 ear form. An isotropic system is particularly useful for graphs with the vertex-minor relation  
 796 since it corresponds to a local equivalence class of graphs [Bou88a], and its connectivity function  
 797 is equal to the cut-rank function of its fundamental graphs [Bou89].

798 Let  $K$  be a 2-dimensional vector space over the binary field, and we denote by  $\alpha, \beta, \gamma$  the  
 799 non-zero elements of  $K$ . Let  $\langle \binom{a}{b}, \binom{c}{d} \rangle_K := ad + bc$  with elements  $a, b, c, d$  in the binary field.  
 800 For a finite set  $V$ , a subspace  $L$  of a vector space  $K^V$  is *isotropic* if for every  $\mathbf{x}, \mathbf{y} \in L$ ,  $\langle \mathbf{x}, \mathbf{y} \rangle = 0$   
 801 where  $\langle \mathbf{x}, \mathbf{y} \rangle := \sum_{i \in V} \langle \mathbf{x}_i, \mathbf{y}_i \rangle_K$ . Note that  $\langle \cdot, \cdot \rangle$  is a nondegenerate bilinear form, and so the  
 802 dimension of every maximal isotropic space is at most  $\frac{1}{2} \dim K^V = |V|$ . An *isotropic system*  
 803 is a pair  $S = (V, L)$  of a finite set  $V$  and an isotropic subspace  $L$  of  $K^V$  with  $\dim L = |V|$ . A  
 804 vector  $\mathbf{a} \in K^n$  is an *Eulerian* vector of an isotropic system  $W$  if  $\mathbf{a}[X] \notin L$  for every nonempty  
 805 subset  $X$  of  $V$ , where  $\mathbf{a}[X]$  is a vector in  $K^V$  such that  $\mathbf{a}[X](v) = \mathbf{a}(v)$  if  $v \in X$  and  $\mathbf{a}[X](v) = 0$   
 806 otherwise.

807 Two vectors  $\mathbf{a}$  and  $\mathbf{b}$  are *supplementary* if  $\mathbf{a}(v)$  and  $\mathbf{b}(v)$  are nonzero and distinct for every  
 808  $v \in V$ .

809 **Theorem 13.1** (Bouchet [Bou88a, (3.1)]). *Let  $G$  be a graph on the vertex set  $V$  and let  $\mathbf{a}$  and*  
 810  *$\mathbf{b}$  be supplementary vectors in  $K^V$ . Let  $L$  be the vector space spanned by  $\mathbf{a}[N_G(v)] + \mathbf{b}[\{v\}]$  with*  
 811  *$v \in V$ . Then  $S = (V, L)$  is an isotropic system.*

812 In the previous theorem, we call a triple  $(G, \mathbf{a}, \mathbf{b})$  a *graphic presentation* of the resulting  
 813 isotropic system, and call  $G$  a *fundamental graph*. Conversely, each isotropic system induces a  
 814 graph as follows.

815 **Theorem 13.2** (Bouchet [Bou88a, (4.6)]). *Every isotropic system admits a graphic presenta-*  
 816 *tion.*

817 **Theorem 13.3** (Bouchet [Bou88a, (7.1) and (7.6)] and Oum [Oum04, Proposition 10.1]). *Let*  
 818  *$(G_1, \mathbf{a}_1, \mathbf{b}_1)$  and  $(G_2, \mathbf{a}_2, \mathbf{b}_2)$  be graphic presentations of the same isotropic system. Then they*  
 819 *are locally equivalent. Furthermore, if  $\{\mathbf{a}_1(v), \mathbf{b}_1(v)\} = \{\mathbf{a}_2(v), \mathbf{b}_2(v)\}$  for every  $v \in V(G_1)$ , then*  
 820  *$G_1$  and  $G_2$  are pivot-equivalent.*

821 An isotropic system might have distinct graphic presentations inducing the same fundamen-  
 822 tal graph. However, Bouchet [Bou91a, (2.5)] showed that regardless of a fundamental graph  $G$   
 823 of an isotropic system  $S$ , the number of graphic presentations  $(H, \mathbf{a}, \mathbf{b})$  of  $S$  such that  $H = G$   
 824 is the same.

825 **Theorem 13.4** (Bouchet [Bou88a, (7.6) and (8.3)]). *Let  $S = (V, L)$  be an isotropic system*  
 826 *and  $(G, \mathbf{a}, \mathbf{b})$  be its graphic presentation. For a vertex  $u$  and an edge  $vw$  of  $G$ , both  $(G * u, \mathbf{a} +$   
 827  *$\mathbf{b}[\{u\}], \mathbf{b} + \mathbf{a}[N_G(u)]$ ) and  $(G \wedge vw, \mathbf{a}[V - \{v, w\}] + [\{v, w\}], \mathbf{b}[V - \{v, w\}] + \mathbf{a}[\{v, w\}])$  are graphic*  
 828 *presentations of  $S$ .**

829 Minors of an isotropic system are defined well by the next theorem. For  $L \subseteq K^V$ ,  $x \in K - \{0\}$ ,  
 830 and  $v \in V$ , let  $L|_x^v := \{p(\mathbf{x}) : \langle \mathbf{x}(v), x \rangle_K = 0\}$  where  $p : K^V \rightarrow K^{V - \{v\}}$  be the canonical  
 831 projection.

832 **Theorem 13.5** (Bouchet [Bou87b, (8.1)] and [Bou88a, (9.1)]). *Let  $S = (V, L)$  be an isotropic*  
 833 *system with the graphic presentation  $(G, \mathbf{a}, \mathbf{b})$ . For each  $x \in K$  and  $v \in V$ ,  $S|_x^v := (V - \{v\}, L|_x^v)$*   
 834 *is an isotropic system and one of the following is its graphic presentation.*

- 835 (i)  $(G - v, p(\mathbf{a}), p(\mathbf{b}))$  if  $x = \mathbf{a}(v)$  or  $v$  is an isolated vertex in  $G$ ,
- 836 (ii)  $(G \wedge vw - v, p(\mathbf{a} + \mathbf{b}[\{w\}]), p(\mathbf{b}_\mathbf{a}[\{w\}]))$  if  $x = \mathbf{b}(v)$  and  $w$  is a neighbor of  $v$  in  $G$ , and
- 837 (iii)  $(G * v - v, p(\mathbf{a}), p(\mathbf{b} + \mathbf{a}[N_G(v)]))$  otherwise.

838 Theorem 2.1 is an immediate corollary of Theorem 13.5.

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